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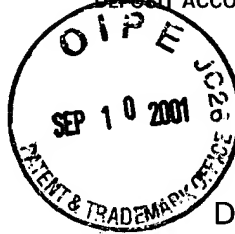
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EXPRESS MAIL CERTIFICATE

Date 9/10/01 Label No. EL 767724929 US

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100



A. Diullo A. Diullo  
Name (Print) Signature

Customer No.:



07278

PATENT TRADEMARK OFFICE

Docket No.: 1225/1G584US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: David BERD

Serial No.: 09/776,250

Art Unit: TBA

Confirmation No.: 8162

Filed: February 2, 2001

Examiner: TBA

For: LOW DOSE HAPTENIZED TUMOR CELL AND TUMOR CELL EXTRACT  
IMMUNOTHERAPY

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

COMPLETION OF PATENT APPLICATION

The following items are submitted herewith in completion of the above-identified patent application:

1. Declaration and power of attorney
2. Payment in the amount of \$ 536, (\$ 431 filing; \$ 40 recording; \$ 65 late)  
in the form of:  
☒ [X] check

☐ deposit account no. 04-0100  
☐ credit card (see attached form)  
(See attached **Fee Computation Sheet**)

3. ☒ Drawings, 2 sheets (Figs. 1A and 1B)
4. ☒ Assignment for recording to: Thomas Jefferson University
5. ☒ Applicant claims small entity status.  
**PARTIAL REFUND of all fees paid within last 2 months is REQUESTED.**
6. A copy of Notice to File Missing Parts of Application.

Priority is claimed for this application, corresponding application/s having been filed as follows:


Country:  
Number:  
Date:

The priority documents ☐ are enclosed  
☐ will follow.  
☐ were filed in a previous application.

The Patent Office is authorized to charge any deficiency up to \$300.00 in the above fees, and to credit any excess, to our Deposit Account No. 4-0100.

Respectfully submitted,

Dated: September 10, 2001

  
Paul F. Fehlner, Ph.D.  
Reg. No. 35,135  
Attorney for Applicant(s)

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Serial No. 09/776,250

Docket No. 1225/1G584US2



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PATENT FEE COMPUTATION SHEET

|  | No. of Claims<br>Presented | Extra Claims<br>Previously<br>Paid For | Number of<br>Extra Claims | Rate     |
|--|----------------------------|--|---------------------------|----------|
| Basic Fee . . . . .  |                            |  |                           | \$710.00 |
| Total Claims   | 24 - 20                    | - 0 = 4                                | x \$18.00                 | \$72.00  |
| Independent<br>Claims  | 4 - 3                      | - 0 = 1                                | x \$80.00                 | \$80.00  |
| Multiple Dependent Claims  |                            | - if so, add                           | \$270.00                  | \$0.00   |
| Surcharge for late submission of filing fee and/or declaration<br>(\$130.00) . . . . . |                            |  |                           | \$130.00 |
| SUBTOTAL . . . . .   |                            |  |                           | \$992.00 |
| [X] Small Entity REDUCTION (Half of Subtotal) . . . . .                                |                            |  |                           | \$496.00 |
| Fee for recordation of assignment (\$40.00) . . . . .                                  |                            |  |                           | \$40.00  |
| Charge for filing non-English language application (\$130.00)                          |                            |  |                           | \$0.00   |
| TOTAL . . . . .  |                            |  |                           | \$536.00 |



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/776,250         | 02/01/2001          | David Berd            | 1225/1G584US2          |

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New York, NY 10022



CONFIRMATION NO. 8162

## FORMALITIES LETTER



\*OC000000006313997\*

Date Mailed: 07/18/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$76.
  - \$36 for 4 total claims over 20.
  - \$40 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 496.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

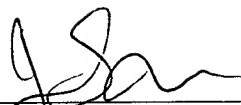
The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

09/14/2001 KZWDIE 00000063 09776250

|           |           |
|-----------|-----------|
| 01 FC:201 | 355.00 OP |
| 02 FC:205 | 65.00 OP  |
| 03 FC:202 | 40.00 OP  |
| 04 FC:203 | 36.00 OP  |

*A copy of this notice MUST be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

100160-0529260